Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

1. (Currently amended): A computer-implemented method for determining a cost basis associated with a plurality of shares of a security, comprising the steps of:
entering, into a computer system, information identifying

an issuer associated with the security,

a purchase date of the security, and

a number of shares of the security purchased on the purchase date;
determining a purchase price per share of the security on the purchase date;
retrieving from a database, in response to the information identifying the issuer,

the purchase date and a sale date,

a list of capital events that occurred in connection with the security between the purchase date and the sale date,

wherein the list of capital events includes at least one event selected from the group consisting of

a distribution reinvestment,

a spin-off event,

a merger event and

a split event, and

wherein the database stores past price per share information and capital events for a

plurality of different securities associated with different issuers;
retrieving from a database ~~one or more~~ multiple shares held adjustment ratios, wherein each of the shares held adjustment ratios corresponds to at least one of the capital events that occurred in connection with the security between the purchase date and the sale date;

determining a current cost basis associated with the security by applying ~~at least one~~ <u>each of the</u> shares held adjustment ratio<u>s</u> to an initial basis of the security[[;]]<u>, including:</u>

~~if multiple shares held adjustment ratios were retrieved from the database, then~~ assigning an intermediate cost basis equal to the current cost basis;

adjusting the current cost basis by applying a further shares held adjustment ratio to the intermediate cost basis; and

repeating the steps of assigning an intermediate cost basis equal to the current cost basis and adjusting the current cost basis until each further shares held adjustment ratio retrieved from the database has been used to adjust the current cost basis of the security, wherein each further shares held adjustment ratio retrieved from the database has an event date associated therewith and is applied in a chronological order such that a shares held adjustment ratio associated with a latest event date is applied in a final iteration of the step of adjusting the current cost basis; and

storing information relating to the current cost basis in a memory.

2. (Original) The method of claim 1, wherein the price of the security on a purchase date is provided by a user.

3. (Previously presented) The method of claim 1, wherein the price of the security on a purchase date is obtained from a database.

4. (Currently amended) The method of claim 1, wherein the plurality of different securities includes common stocks, mutual funds and closed end bond funds.

5. (Previously presented) The method of claim 1, wherein the shares held adjustment ratio associated with each distribution reinvestment event stored in the database corresponds to a dividend amount issued per share at the time of each such distribution reinvestment event.

6. (Previously presented) The method of claim 1, wherein the shares held adjustment ratio associated with each split event stored in the database corresponds to a number of additional shares of a given security issued for each share of the given security held prior to such split event.

7. (Original) The method of claim 1, wherein the shares held adjustment ratio associated with each merger event stored in the database corresponds to a number of shares of a security associated with a merged entity issued for each share associated with an issuer that merged into the merged entity.

8. (Original) The method of claim 1, wherein the shares held adjustment ratio associated with each spin-off event stored in the database corresponds to a number of shares of a security associated with a spun-off entity issued for each share associated with an issuer that divested the spun-off entity.

9. (Previously presented) The method of claim 1, wherein the shares held adjustment ratio associated with a merger event stored in the database corresponds to a number of shares of a security associated with a merger entity issued for each share associated with an issuer that merged with the merger entity.

10. (Original) The method of claim 1, wherein the list of capital events further includes worthless security events.

11. (Original) The method of claim 10, further comprising the step of notifying a user of the worthless security event, the date of the worthless security event, and the basis of the security at the time of the worthless security event.

12. (Original) The method of claim 1, wherein the step of entering, into a computer system, information identifying an issuer associated with the security, a purchase date of the security, and

a number of shares of the security purchased on the purchase date further comprises entering the information into the computer system via a graphical user interface.

13. (Original) The method of claim 1, wherein the step of entering, into a computer system, information identifying an issuer associated with the security, a purchase date of the security, and a number of shares of the security purchased on the purchase date further comprises entering the information into the computer system via a spreadsheet interface.

14. (Original) The method of claim 1, wherein the step of entering, into a computer system, information identifying an issuer associated with the security, a purchase date of the security, and a number of shares of the security purchased on the purchase date further comprises entering the information into the computer system via a batch list.

15. (Previously presented) The method of claim 1, wherein the list of capital events further includes security delisting events, and the method further comprises the step of notifying a user of the delisting event, the date of the delisting event, and the basis of the security of the delisting event.

16. (Original) The method of claim 15, wherein the list of capital events further includes security relisting events, and the method further comprises the step of determining whether a security has been relisted after a delisting event.

17. (Previously presented) The method of claim 1, wherein the database stores the capital events for the plurality of different securities in a first table, and the database stores price information for each of the plurality of different securities in at least a second table.

18. (Original) The method of claim 17, wherein the database further stores security issuer information in a third table, said security issuer information correlating identification changes in a security.

19. (Original) The method of claim 17, wherein for each capital event stored in the first table, the database includes fields corresponding to a name of a company associated with such capital event, a stock symbol associated with such capital event, a CUSIP number associated with such capital event, a date of such capital event, a type of such capital event, and a shares held adjustment ratio associated with such capital event.

20. (Original) The method of claim 19, wherein for each capital event stored in the first table, the database further includes a field that stores a value correlating the stock symbol and the CUSIP number associated with such capital event.

21. (Original) The method of claim 20, wherein the value correlating the stock symbol and the CUSIP number uniquely identifies an issuer associated with a given security in the database as such issuer undergoes merger events, spin-off events and name change events.

22. (Previously presented) The method of claim 17, wherein for each date for which price information is stored in the second table, the database stores a high price, a low price, an open price and a close price for each of the plurality of different securities.

23. (Previously presented) The method of claim 1, further comprising the steps of:

determining whether a security for which a present basis is to be determined is an option security; and

where the security for which a present basis is sought is an option security, determining whether the option security was exercised.

24. (Previously presented) The method of claim 23, further comprising the steps of:

retrieving a shares held adjustment ratio and an exercise price for an option security from a database when it is determined that the option security was exercised; and

determining from an option security basis and the exercise price a basis in a security obtained by exercise of the option security.

25. (Previously presented) The method of claim 23, further comprising the steps of:

retrieving an expiration date from a database when it is determined that an option security has not been exercised; and

where the expiration date is prior to the present date, informing a user of the expiration of the option security, the date of such expiration, and the basis of the option securities held on the date of expiration.

26. (Original) The method of claim 1, wherein the list of capital events further includes at least one rights issuance.

27. (Previously presented) The method of claim 26, further comprising the steps of:

when rights issuance is retrieved as a capital event for a security, notifying the user of the rights issuance;

determining whether the rights have been exercised; and

when the rights have been exercised, determining a basis allocation between the original security and a security acquired by exercise of the rights.

28. (Original) The method of claim 1, wherein the list of capital events further includes at least one warrants issuance.

29. (Previously presented) The method of claim 28, further comprising the steps of:

when a warrants issuance is retrieved as a capital event, notifying the user of the warrants issuance;

determining whether the warrant has been exercised; and

when the warrant has been exercised, determining a basis allocation between the original security and the interest acquired by exercise of the warrant.

30-38. (Canceled)

39. (Currently amended): A system for determining a cost basis associated with a plurality of shares of a security, comprising:

a computer system with a user interface that receives information identifying an issuer associated with the security, a purchase date of the security, a number of shares of the security purchased on the purchase date, and a sale date of the security;

a database coupled to the computer system, wherein the database stores a purchase price per share of the security on the purchase date;

~~software that causes~~ a medium storing instructions, wherein the instructions when executed by the computer cause the computer system to perform the following method steps:

~~to retrieve~~ retrieving from the database, in response to the information identifying the issuer, the purchase date and the sale date, a list of capital events that occurred in connection with the security between the purchase date and the sale date, wherein the list of capital events includes at least one event selected from the group consisting of a reinvested dividend event, a stock spin-off event, a stock merger event and a stock split event, and wherein the database stores past price per share information and capital events for a plurality of different securities associated with different issuers;

~~wherein the software further causes the computer system to retrieve~~ retrieving from the database ~~one or more~~ multiple cost basis adjustment ratios, wherein each of the cost basis adjustment ratios corresponds to one of the capital events that occurred in connection with the security between the purchase date and the sale date;

~~wherein the software further causes the computer system to determine~~ determining a current cost basis associated with the security by applying ~~a first~~ each of the cost basis adjustment ratios to the purchase price per share of the security~~; and~~, including:

~~assigns~~ assigning an intermediate cost basis equal to the current cost basis;

~~adjusts~~ adjusting the current cost basis by applying a further cost basis adjustment ratio to the intermediate cost basis; and

~~repeats~~ repeating the steps of assigning an intermediate cost basis equal to the current cost basis and adjusting the current cost basis until each further cost basis adjustment ratio retrieved from the database has been used to adjust the current cost basis, wherein each further cost basis adjustment ratio retrieved from the database has an event date associated therewith and is applied in a chronological order such that a cost basis adjustment ratio associated with a latest event date is applied in a final iteration of the step of adjusting the current cost basis.

40. (Canceled)